DEPARTMENT OF THE NAVY
OFFICE OF THE SECRETARY
1000 NAVY PENTAGON
WASHINGTON DC 20350-1000

SECNAVINST 5400.39E
DUSN
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SECNAV INSTRUCTION 5400.39E

From: Secretary of the Navy

Subj: DEPARTMENT OF THE NAVY SPACE POLICY

Ref: See enclosure (1)

Encl: (1) References

1. Purpose

a. To establish the Department of the Navy (DON) Space Policy and assign DON space-related roles and responsibilities for implementing guidance, per references (a), (b), (c), and (d).

b. This instruction is substantially revised to update the DON Space Policy and align senior leader summits, boards, and working groups with current guidance.

2. Cancellation. SECNAVINST 5400.39D.

3. Applicability. This instruction applies to the Offices of the Secretary of the Navy (SECNAV), the Chief of Naval Operations (CNO), the Commandant of the Marine Corps (CMC), as well as all U.S. Navy, U.S. Marine Corps installations, commands, activities, field offices, and all other organizational entities within the DON.

4. Policy

a. As an expeditionary, global, and forward postured force, the DON is heavily reliant on space-based capabilities to operate across the maritime domain and ashore. Access to reliable space-based communications, weather, indications and warning, and positioning, navigation, and timing is paramount to achieve naval objectives of battlespace awareness, assured command and control, maneuver, and integrated fires.

b. The DON is an active component of the Joint Force for both space operations and acquisition. The expertise gained in

space operations and acquisition is critical to maintaining the knowledge and ability to fully leverage space capabilities given the complex operational and technical challenges associated with the space domain.

5. Responsibilities

a. The Under Secretary of the Navy (UNSECNAV) will:

(1) Serve as the principal DON representative for space issues and represent the DON at executive-level space forums.

(2) Oversee the performance of duties outlined in references (d) and (e) and co-chair the semi-annual executive session of the Naval-National Reconnaissance Office (NRO) Summit with the Director, NRO (DNRO).

b. The Deputy Under Secretary of the Navy (DUSN) will:

(1) In coordination with representatives of the CNO, the CMC, and the Assistant Secretary of the Navy (Research, Development and Acquisition) (ASN (RD&A)), and under the direction and control of UNSECNAV, formulate DON space policy for senior-level approval and oversee space policy implementation;

(2) Serve as the principal DON interface with appropriate Office of the Secretary of Defense (OSD) and the Department of Defense (DoD) component representatives on space issues and space forums;

(3) Co-lead the Naval Space Board (NSB) in coordination with the Deputy Assistant Secretary of the Navy Command, Control, Communications, Computers, Intelligence; Information Operations and Space) (DASN (C4I/IO/Space)).

c. ASN (RD&A) will:

(1) Carry out all DON acquisition functions related to space including any Joint space acquisition functions in cooperation with appropriate OSD and DoD component organizations;

(2) Develop and maintain a plan for a robust space architecture supported by a strong, sustained space Science and Technology/Research and Development (S&T/R&D) base to enable reference (d) and establish a process to inject innovative capabilities into acquisition and operations;

(3) Invest in and sponsor innovative concepts and technologies designed to satisfy unique DON and DoD (as assigned) space needs, build in resilience, mitigate single point failures, and reduce operational risk;

(4) Champion the performance of space-related work by the DON S&T/R&D establishment in support of other DoD Components and the Intelligence Community when beneficial to DON interests and activities;

(5) Advise SECNAV, UNSECNAV, and as appropriate, the CNO and the CMC on key programmatic issues for both the DON and Joint space and related programs;

(6) Advise the appropriate OSD and DoD components on DON Space architectures, systems engineering, S&T/R&D, and acquisition matters;

(7) Support SECNAV and UNSECNAV in carrying out the specific DON space responsibilities prescribed in references (d) and (e);

(8) Support and assist DUSN in the coordination and execution of the NSB via DASN C4I/IO/Space as a co-lead.

d. The Assistant Secretary of the Navy (Financial Management and Comptroller) will:

(1) Ensure DON budgets support this policy within the context of a balanced DON warfighting capability;

(2) Ensure proper reporting of receipt and execution of funding is made in accordance with standards and regulations from authoritative sources to support DON’s audit effort.

e. The Assistant Secretary of the Navy (Manpower and Reserve Affairs) will:

(1) Advise on matters of policy and implementation for civilian and uniformed members in both the Active and Reserve Components within the scope of this instruction;

(2) Support SECNAV and UNSECNAV in carrying out the specific DON space responsibilities prescribed in references (d) and (e).

f. The CNO and the CMC will issue appropriate implementing instructions in response to this direction. Implementing orders will ensure that each DON component:

(1) Develops requirements for space systems; space doctrine, education and training; space RD&A; related military construction; and space-related strategy and operations;

(2) Provides space strategies, plans, and, in coordination with ASN (RD&A), associated program information for review, coordination, and integration to support DoD-wide space planning, programming, acquisition, and development as required;

(3) Submits space capability and interoperability requirements for integration into the Joint Capabilities Integration and Development System;

(4) Develops and maintains a robust total force cadre of space-qualified personnel to:

(a) Evaluate, acquire, operate, and exploit space capabilities in order to meet the full spectrum of Naval and Joint warfighting requirements;

(b) Advise ASN (RD&A) on the acquisition, development, and sustainment of space capabilities to meet the full spectrum of Naval and Joint warfighting requirements;

(c) Provide personnel to represent their Service in DoD-wide planning, programming, and acquisition activities.

(5) Continues to fund appropriate space-related Research, Development, Test, and Evaluation programs that meet Service requirements;

(6) Supports SECNAV and UNSECNAV in carrying out the additional specific DON space responsibilities prescribed in references (d) and (e).

6. Naval-NRO Summit. The Naval-NRO Summit will be held twice a year (every six months) to identify common areas for NRO and DON policy and collaboration, S&T/R&D, improved use of current systems, and design of future systems for naval support.

a. The Summit will include an Executive Session chaired by the UNSECNAV and the DNRO, and a Working Group held at the 3-star level. Additional Working Groups may be scheduled separately from the semiannual Summit.

b. Deputy Chief of Naval Operations for Information Warfare (OPNAV N2/N6) will be the Naval lead to coordinate Summit and Working Groups issues with the Marine Corps.

c. The Summit preparations and agenda will be developed by the Naval NRO Coordination Group (NNCG) working closely with the NRO Office of Policy and Strategy (OP&S). OPNAV N2/N6 (SES-level) will approve working group schedules and agendas and submit Executive Session agendas through DUSN to UNSECNAV for final approval.

d. Summit minutes and actions will be drafted by the NNCG, working closely with the NRO (OP&S). Working Group minutes will be approved by OPNAV N2/N6 (SES) and their NRO counterpart and Executive Session minutes will be approved by UNSECNAV and DNRO.

7. (NSB). The NSB provides a senior leadership mechanism to develop and coordinate DON positions regarding DoD, Interagency, commercial, and international space-related issues. The NSB and NSB Working Group will be the primary means to facilitate unity of effort across the DON for space policy, strategy, missions, functions, and capabilities.

8. Records Management

a. Records created as a result of this instruction, regardless of format or media, must be maintained and dispositioned according to the records disposition schedules found on the Directives and Records Management Division (DRMD) portal page: https://portal.secnav.navy.mil/orgs/DUSNM/DONAA/DRM/SitePages/Home.aspx.

b. For questions concerning the management of records related to this instruction or the records disposition schedules, please contact your local Records Manager or the DRMD program office.

THOMAS B. MODLY
Under Secretary of the Navy

Distribution:
Electronic only, via Department of the Navy Issuances Web site https://www.secnav.navy.mil/doni/default.aspx.

REFERENCES

(a) National Space Policy Directive, 28 June 2010
(b) Natoinal Strategy for Space, Janaury 2018
(c) Space Policy Directive-4, 19 February 2019
(d) DoD Directive 3100.10 of October 20112
(e) DoD Directive 5105.23 of 28 June 2011